

Case N . 78314 18-18US

COMMISSIONER FOR PATENTS
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Transmitted herewith for filing is the patent application of:

I HEREBY CERTIFY THIS PAPER OR FEE IS BEING DEPOSITED WITH THE U.S. POSTAL SERVICE "EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE UNDER 37 CFR 1.10 ON THE DATE INDICATED BELOW AND IS ADDRESSED TO: MAIL STOP PATENT APPLICATION, COMMISSIONER FOR PATENTS, PO BOX 1450, ALEXANDRIA, VA 22313-1450.

EXPRESS MAIL NO.: EV322683389US

DATE OF DEPOSIT: 11/03/03

NAME: KRISTEN FERGUSON

SIGNATURE: *Kristen Ferguson*

Inventors: Adam BERGERON, Robert E. KLINGER, Georg J. OCKENFUSS, Markus K. TILSCH, Frederik Kevin ZERNIK

For: TUNABLE OPTICAL ADD/DROP DEVICE

Please note the following:

- ☒ Patent Application: 21 pages, 15 claims.
9 sheets of drawings.
The suggested drawing figure to be published is FIG. 5C
A declaration and power of attorney.
Citation Under 37 CFR 1.97 and PTO-1449.
Assignee info:
Name: JDS Uniphase Corporation
Address: 1768 Automation Parkway
San Jose, CA 95131
State of Incorporation: Delaware
☐ Applicant claims priority benefit to the following foreign application(s):
Country:
Application No.:
Filing Date:
☒ Applicant claims priority benefit to the following U.S. application(s):
Application No.: 60/423,893
Filing Date: November 5, 2002

The filing fee has been calculated as shown below:

	(Col. 1)		(Col. 2)		SMALL ENTITY			LARGE ENTITY	
FOR:	# FILED		# EXTRA		RATE	FEE		RATE	FEE
BASIC FEE						\$ 385	OR		\$ 770
TOTAL CLAIMS	15	-20			X 9	\$	OR	X 18	\$
INDEP CLAIMS	4	- 3	1		X 43	\$	OR	X 86	\$ 86
* If the difference in Col. 1 is less than "0", enter "0" in Col. 2.					TOTAL	\$		TOTAL	\$ 856

- ☒ Authorization is given to charge the Filing Fee in the amount of \$856.00 to Deposit Account 50-1465.
☒ Authorization is given to charge the Assignment Recordal Fee in the amount of \$40.00 to Deposit Account 50-1465.
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☒ PLEASE ADDRESS ALL CORRESPONDENCE TO ATTORNEY OF RECORD:
CHARLES E. WANDS

☒ Please associate this application with Customer No. 27975.

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November 3, 2003



27975

PATENT TRADEMARK OFFICE

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22388 U.S. PTO
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